



# Authorization Recycling in RBAC Systems

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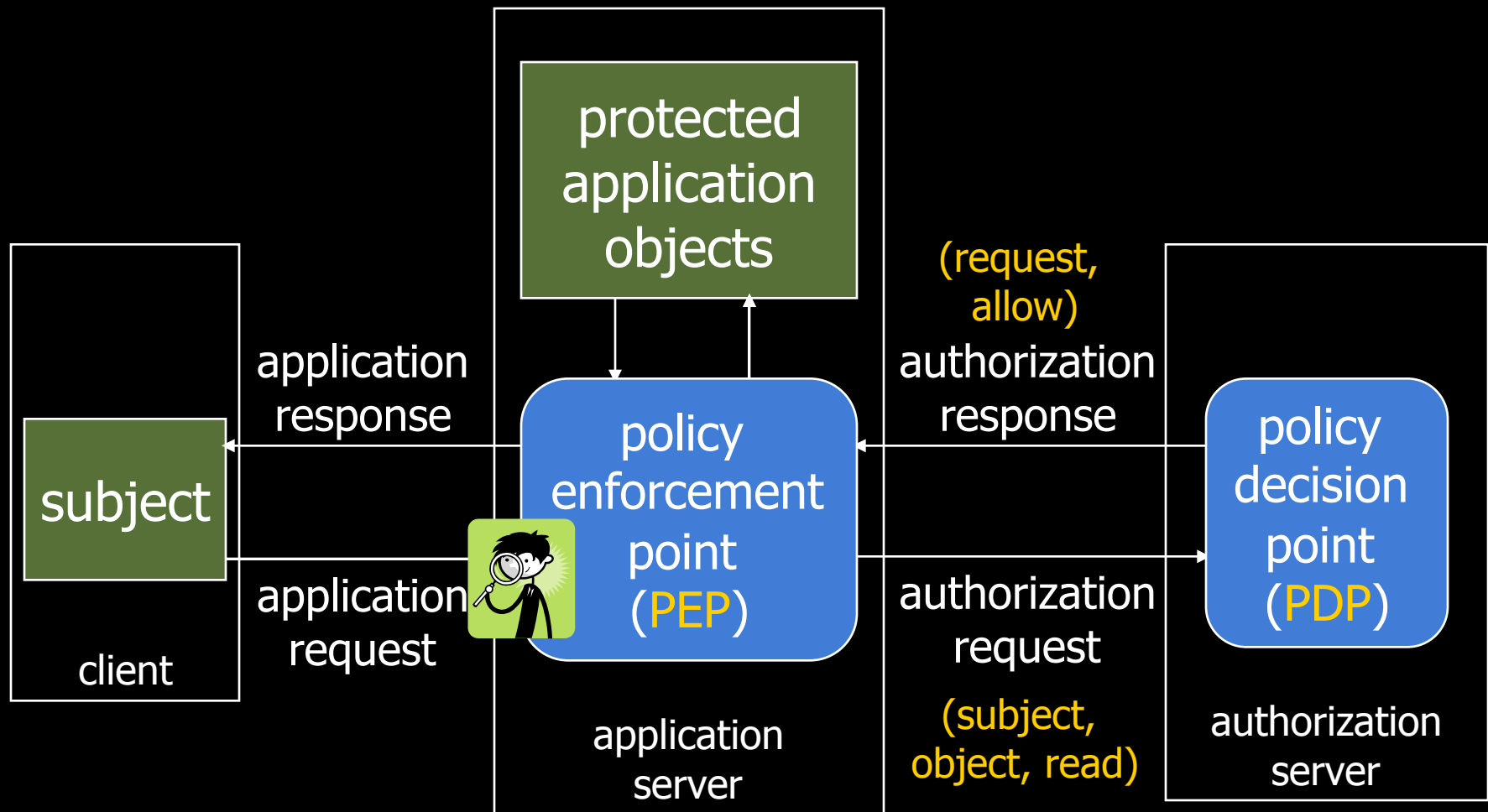
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University of London



# outline

- the overview
  - authorization architecture
  - motivation
  - recycling approach
- recycling algorithms
- experimental evaluations
- summary & future work

# a typical authorization architecture

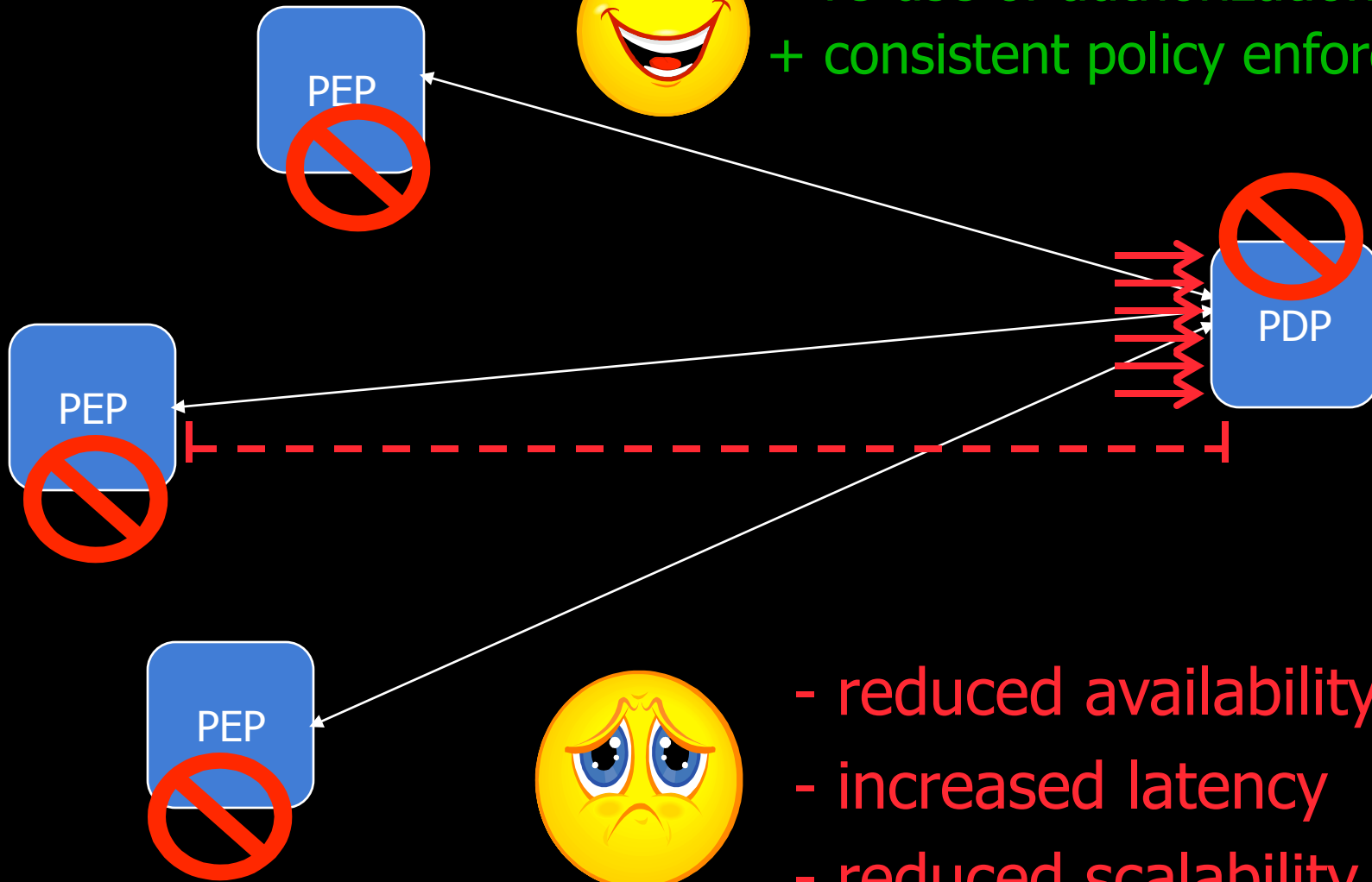


- also known as **request-response paradigm**
- applied by IBM Access Manager, Entrust GetAccess, CA SiteMinder, etc.

# motivations



+ re-use of authorization logic  
+ consistent policy enforcement

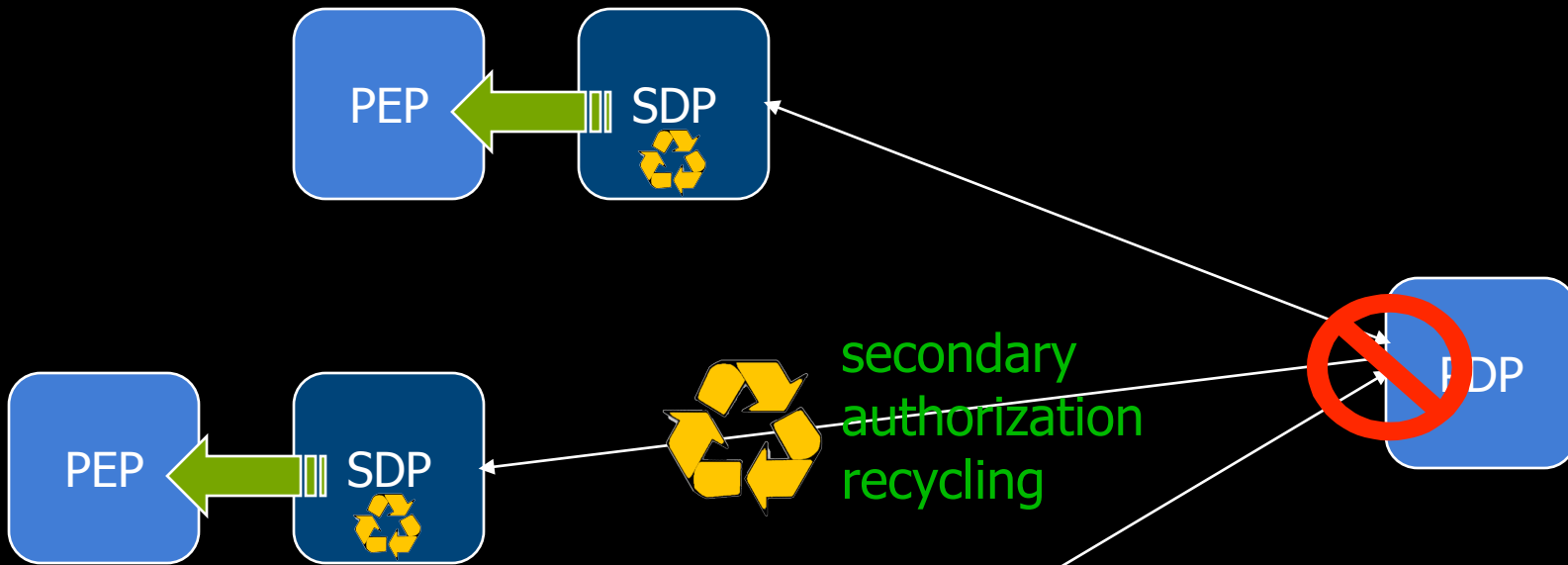


- reduced availability  
- increased latency  
- reduced scalability

# existing approaches

- fault-tolerance by replication/redundancy
  - + improve availability
  - latency remains unchanged
  - require specialized OS/middleware
  - poorly scale on large populations
- caching previous authorizations
  - + simple, inexpensive
  - + improves performance & availability
  - serves only requests that have been issued before (precise recycling)

# secondary and approximate authorization model (SAAM)<sup>1</sup>



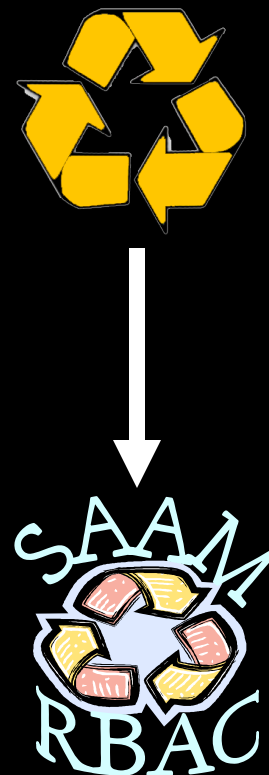
**secondary decision point (SDP)**

1. resolve returning requests (precise recycling)
2. resolve **new** requests (approximate recycling)

<sup>1</sup>J. Crampton, W. Leung and K. Beznosov, "The Secondary and Approximate Authorization Model and its Application to Bell-LaPadula Policies," in the *Proceedings of the 11th ACM Symposium on Access Control Models and Technologies (SACMAT)*, Lake Tahoe, California, USA, 7-9 June, 2006.

# SAAM<sub>RBAC</sub>

- SAAM
  - only an abstract model
  - a specific SAAM recycling algorithm is needed for each access control model
- SAAM<sub>RBAC</sub>
  - apply SAAM to role-based access control (RBAC) model
  - develop recycling algorithms specifically for RBAC



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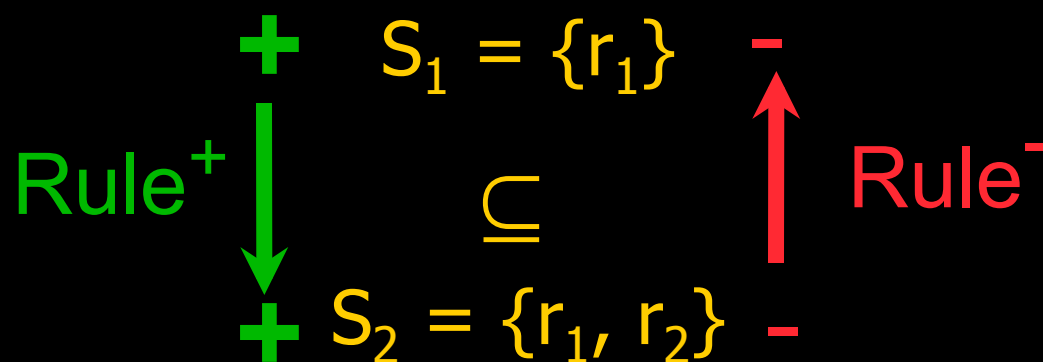


# terminology

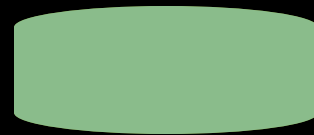
- request: issued by a subject  $s$  for a permission  $p$ 
  - request= $(s,p)$
- $\pm$ : denotes the decision to a request
  - an allow response:  $+(s,p)$
  - a deny response:  $-(s,p)$
- subject: modeled as the set of roles  $r$  activated in a session
  - $s = \{r_1, r_2, r_3\}$

## inference rules

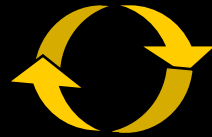
- **Rule<sup>+</sup>**: if  $+(s,p)$  and  $s' \subseteq s$ , then request  $(s',p)$  should also be **allowed**
- **Rule<sup>-</sup>**: if  $-(s,p)$  and  $s' \subseteq s$ , then request  $(s',p)$  should also be **denied**



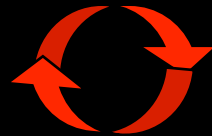
# SAAM<sub>RBAC</sub> recycling algorithms



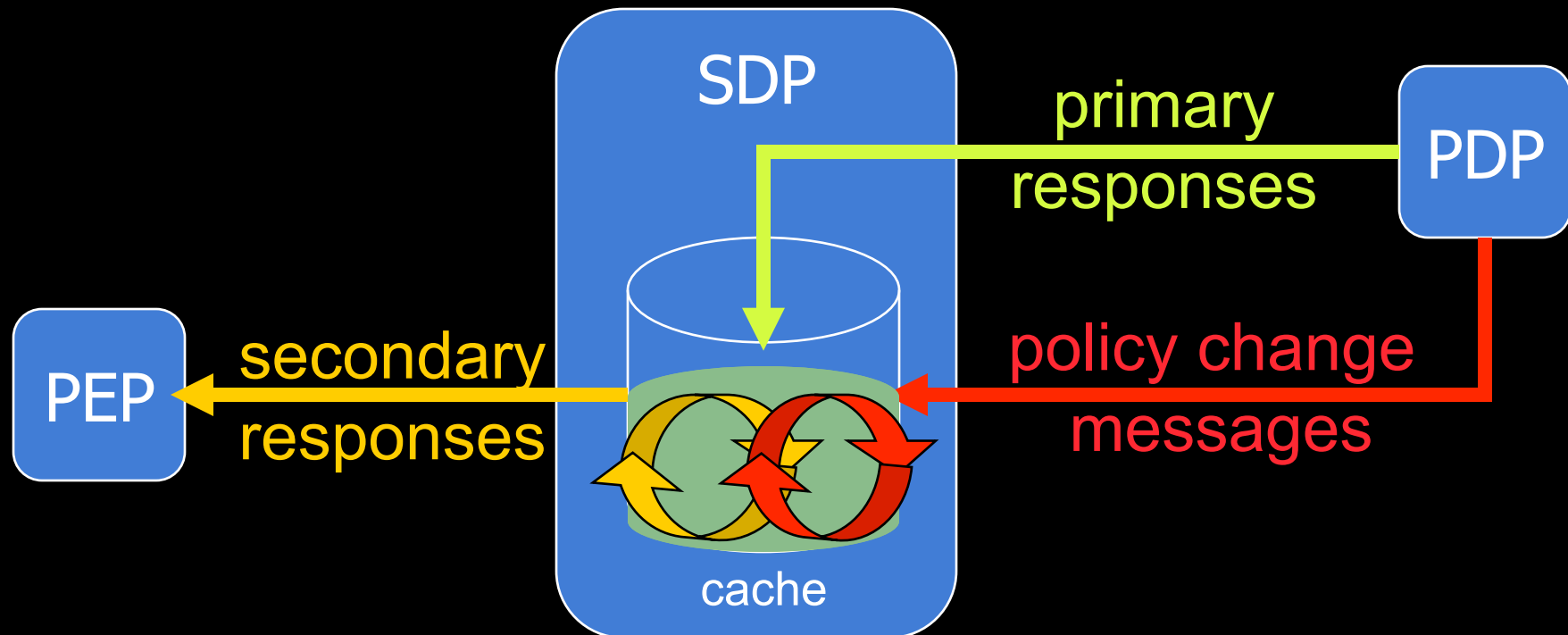
cache construction



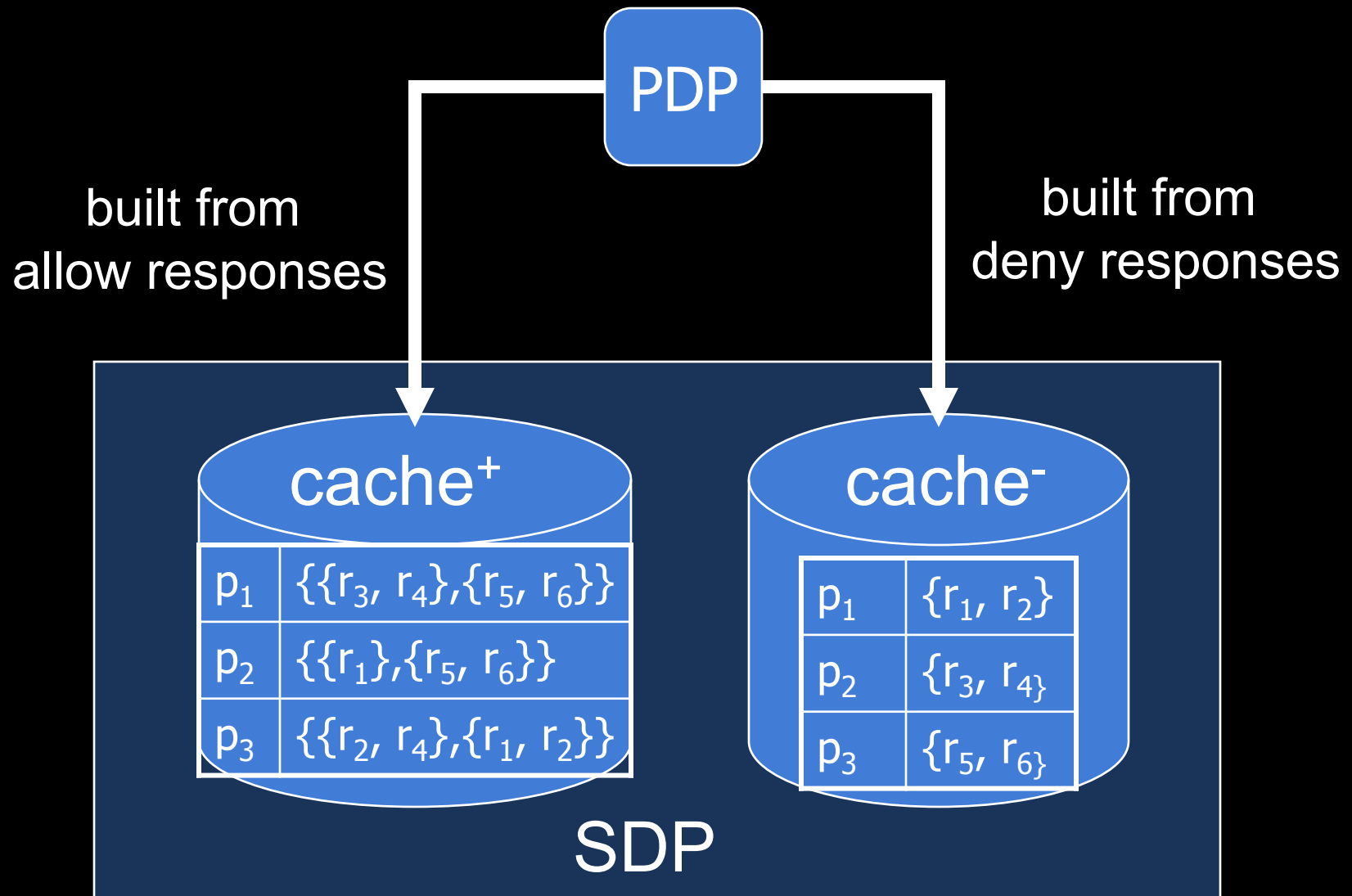
decision



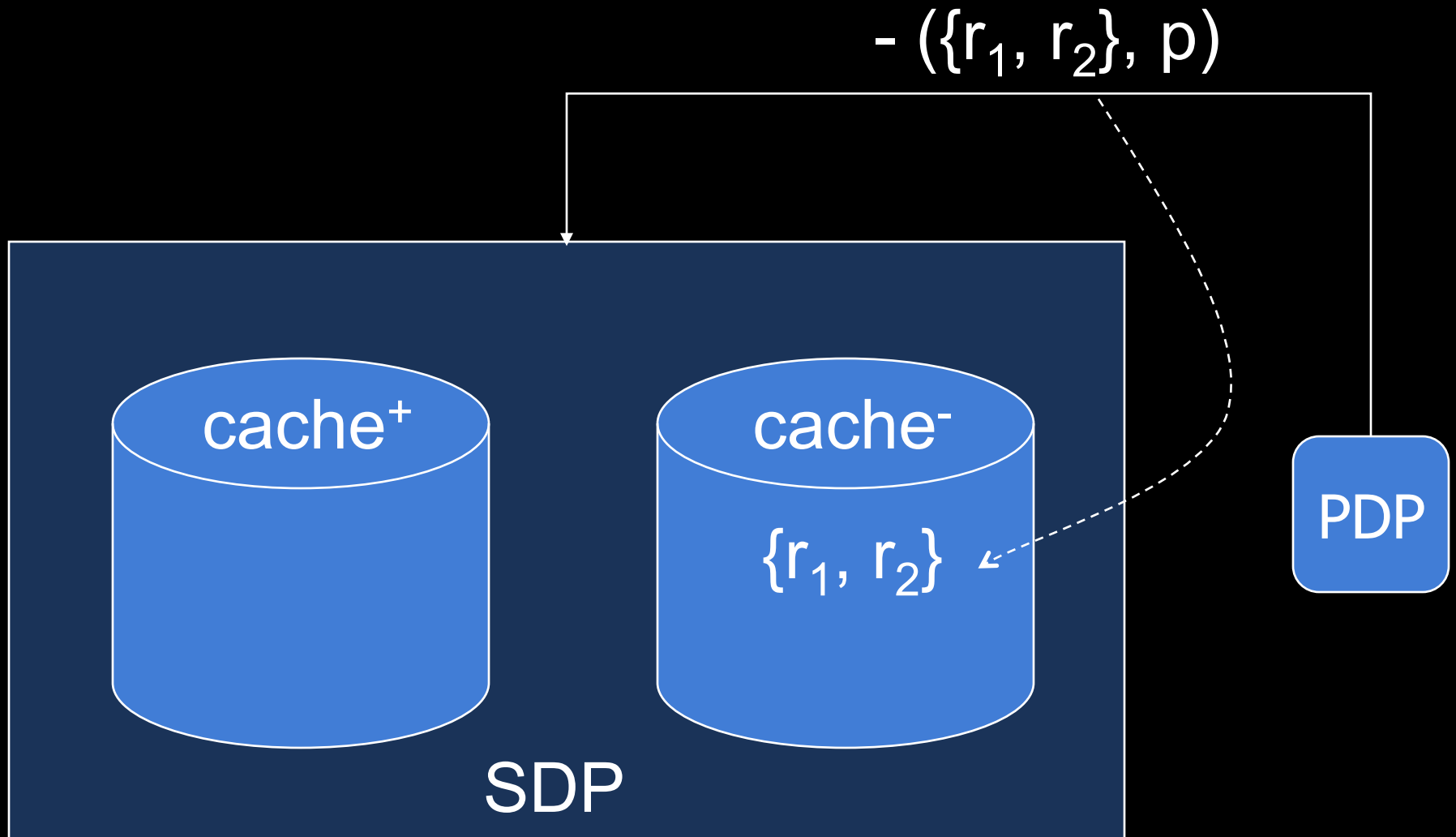
cache update



# cache<sup>+</sup> and cache<sup>-</sup>

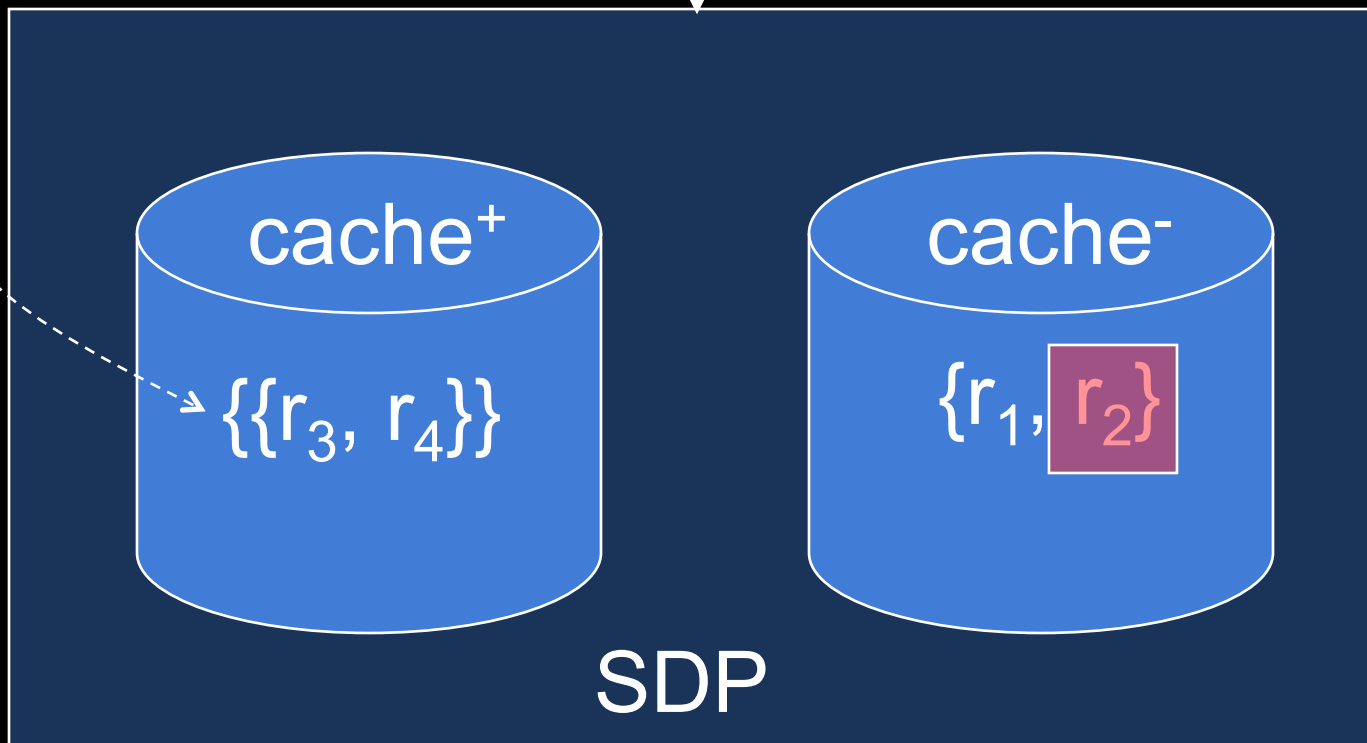


# example: SDP receives 1<sup>st</sup> deny response

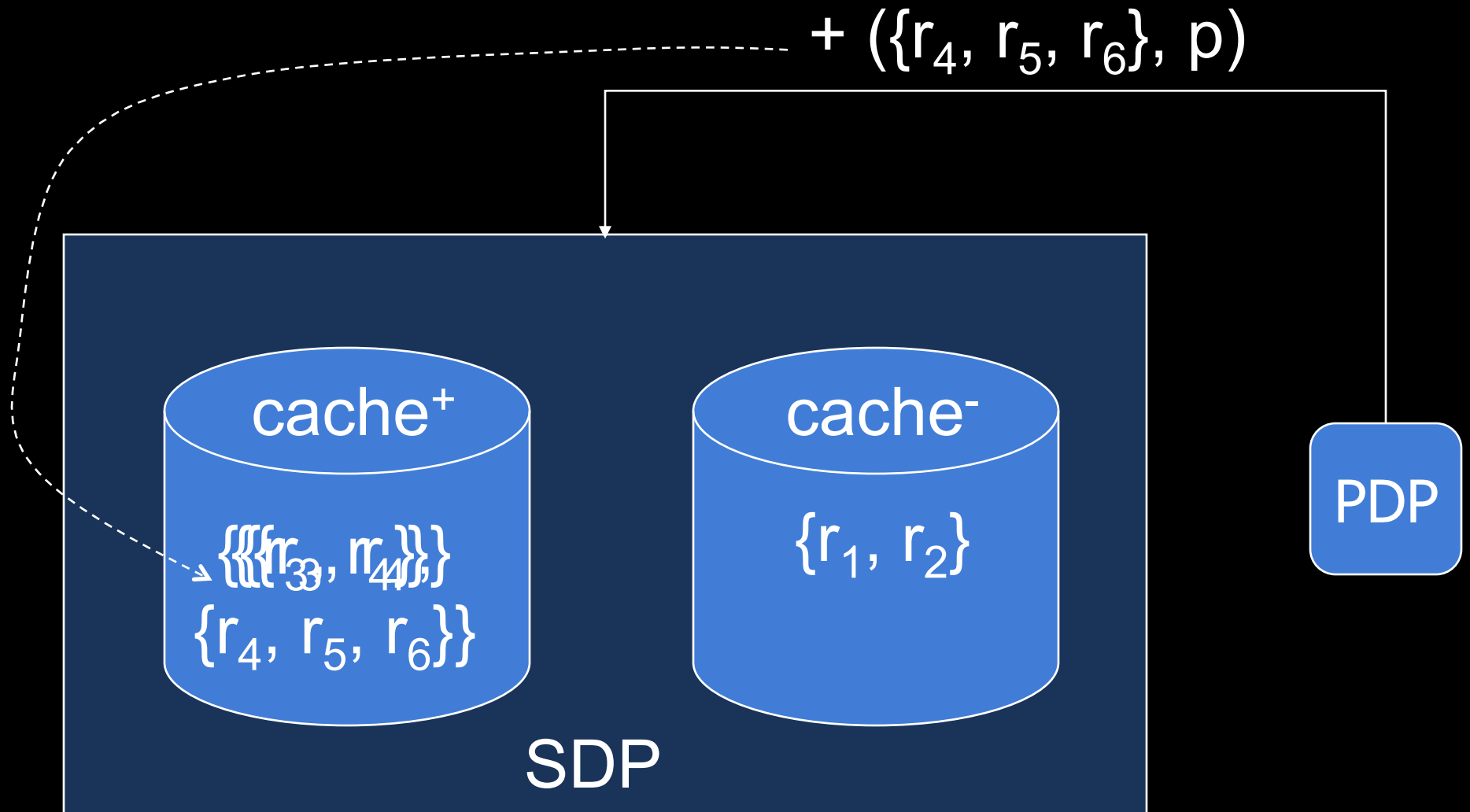


# example: SDP receives 1<sup>st</sup> allow response

+  $(\{r_2, r_3, r_4\}, p)$

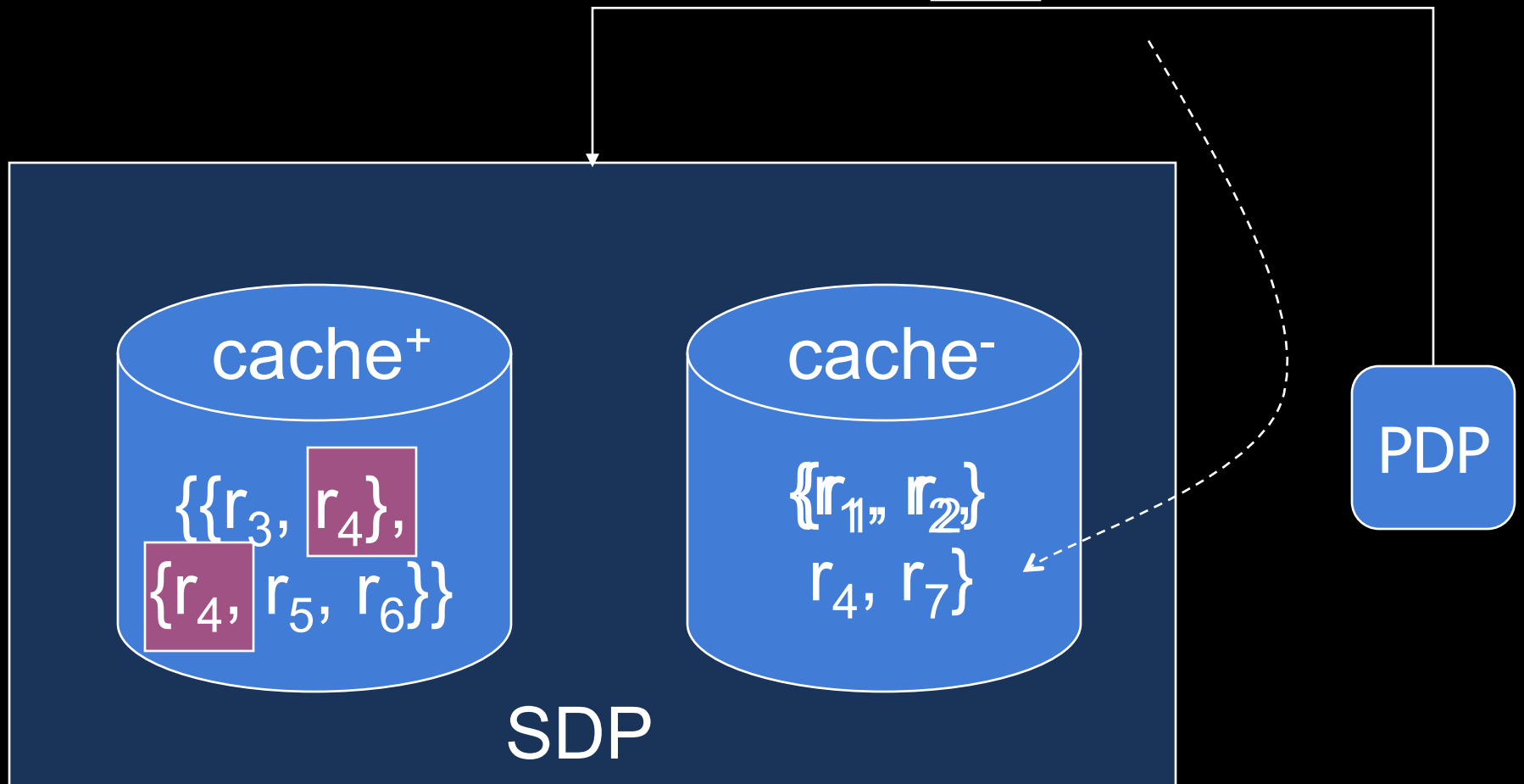


# example: SDP receives 2<sup>nd</sup> allow response



# example: SDP receives 2<sup>nd</sup> deny response

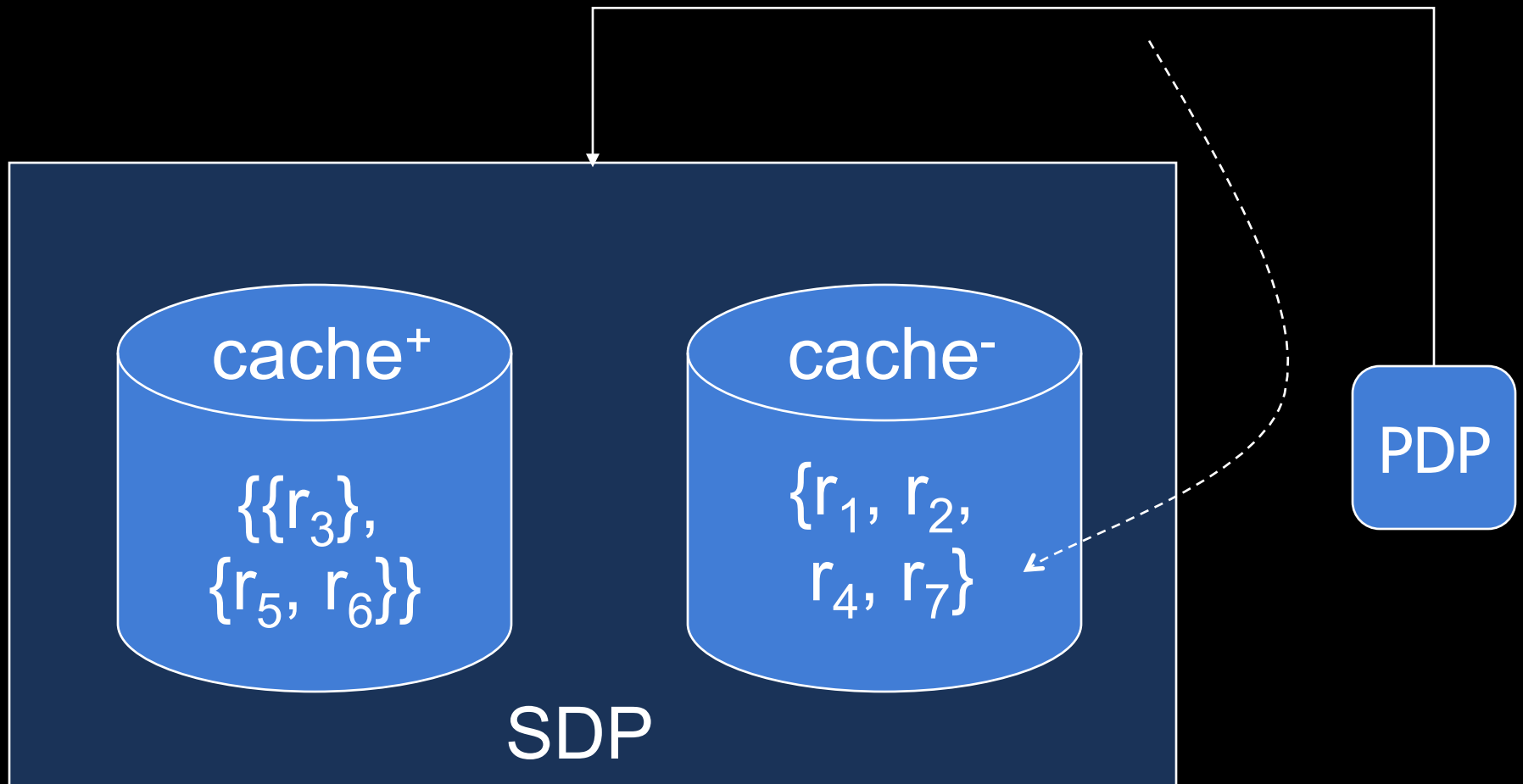
-  $(\{r_4, r_7\}, p)$



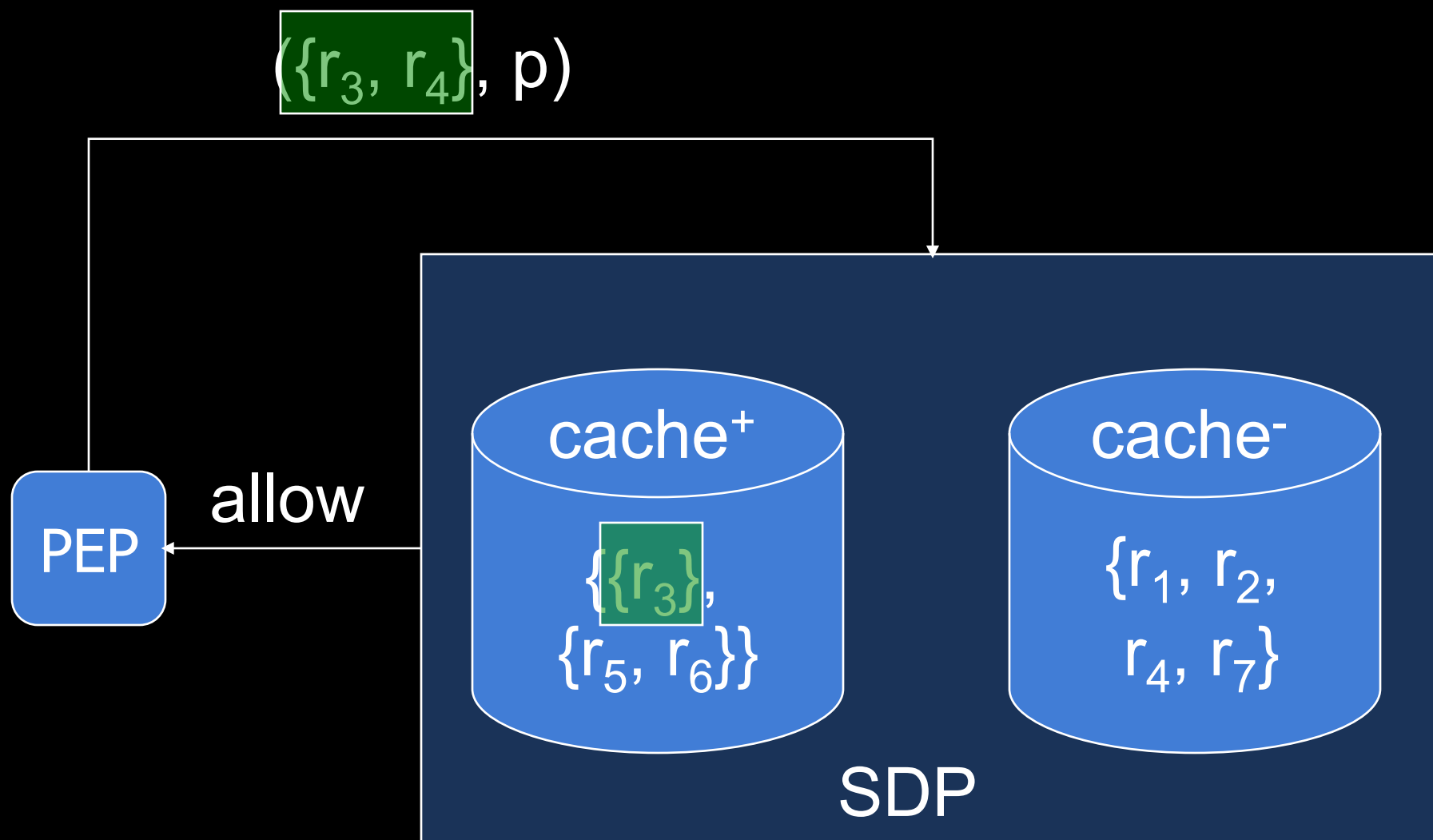


# example: SDP receives 2<sup>nd</sup> deny response

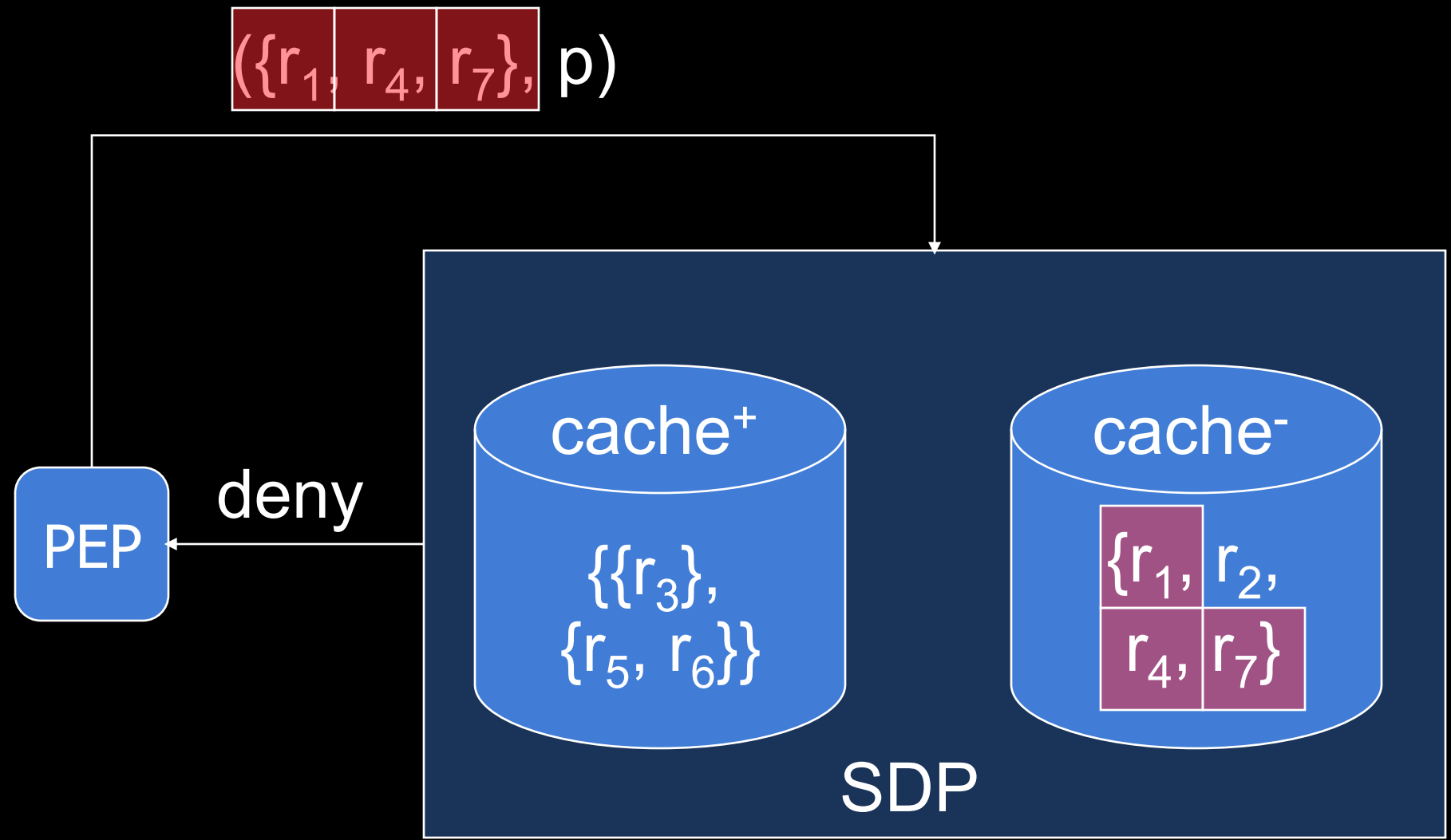
- ( $\{r_4, r_7\}, p$ )



# example: SDP makes an allow decision

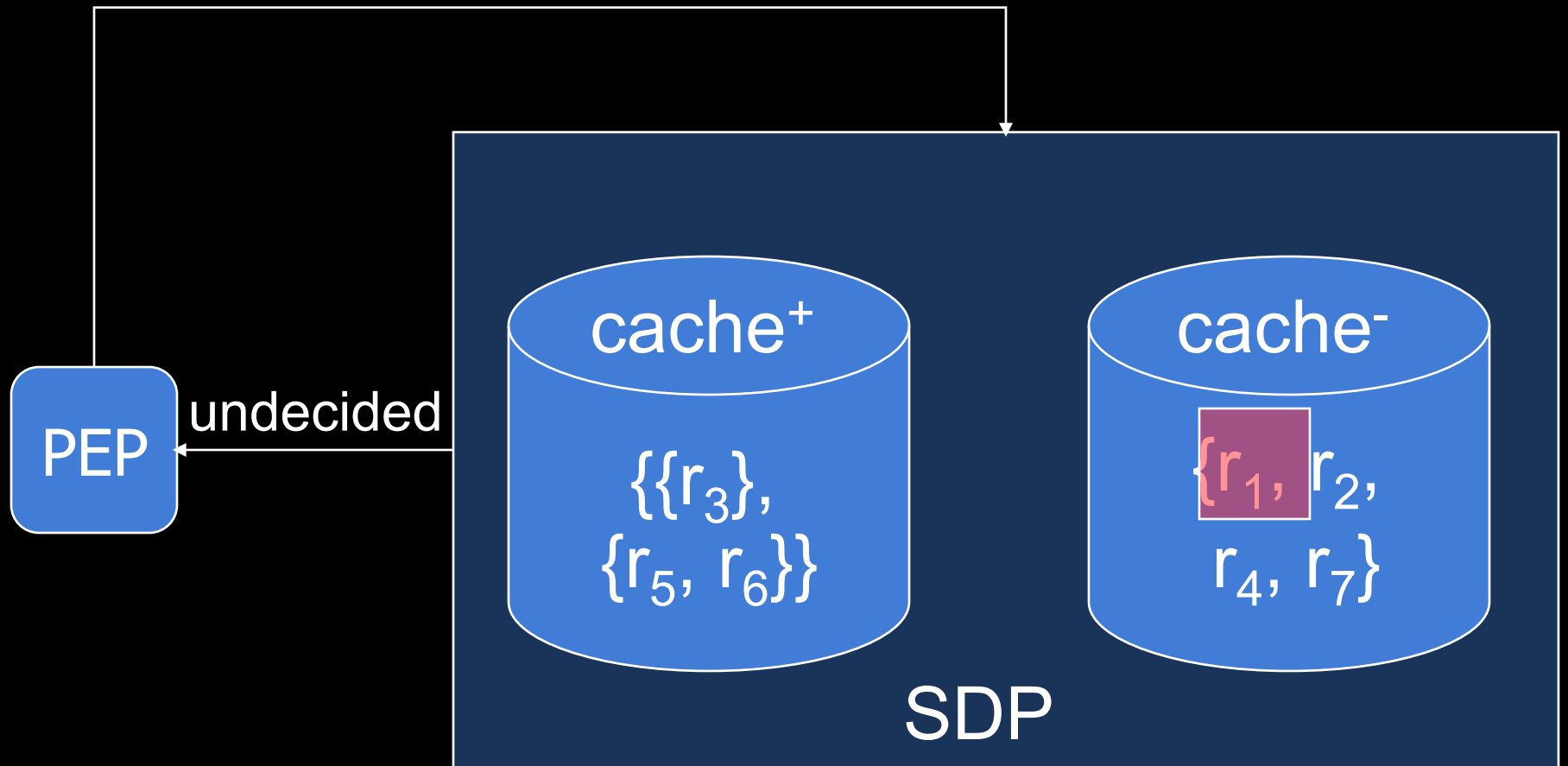


# example: SDP makes a deny decision

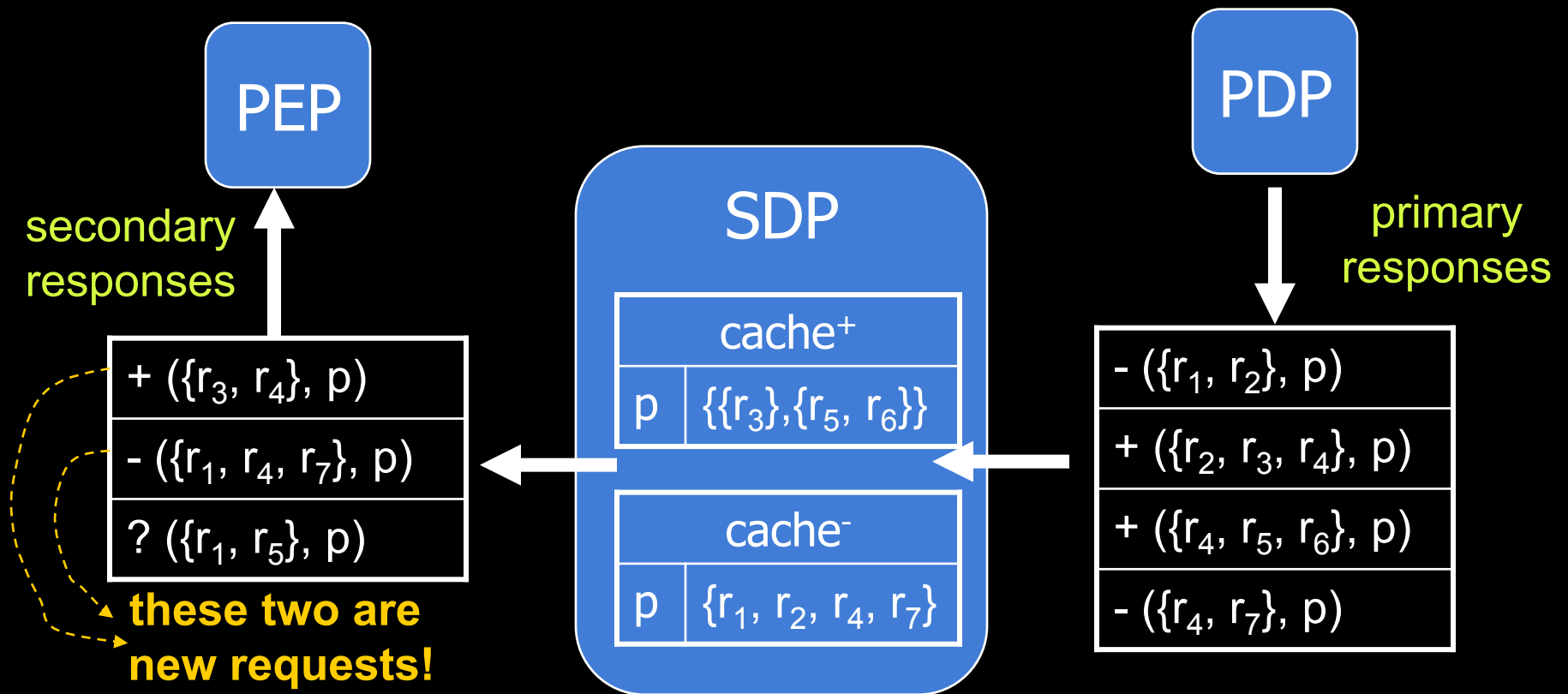


# example: SDP makes an undecided decision

$(\{\boxed{r_1}, r_5\}, p)$



# example: summary



- algorithm correctness is proved
  - if the SDP makes any allow or deny decision, the PDP will always make the same decision

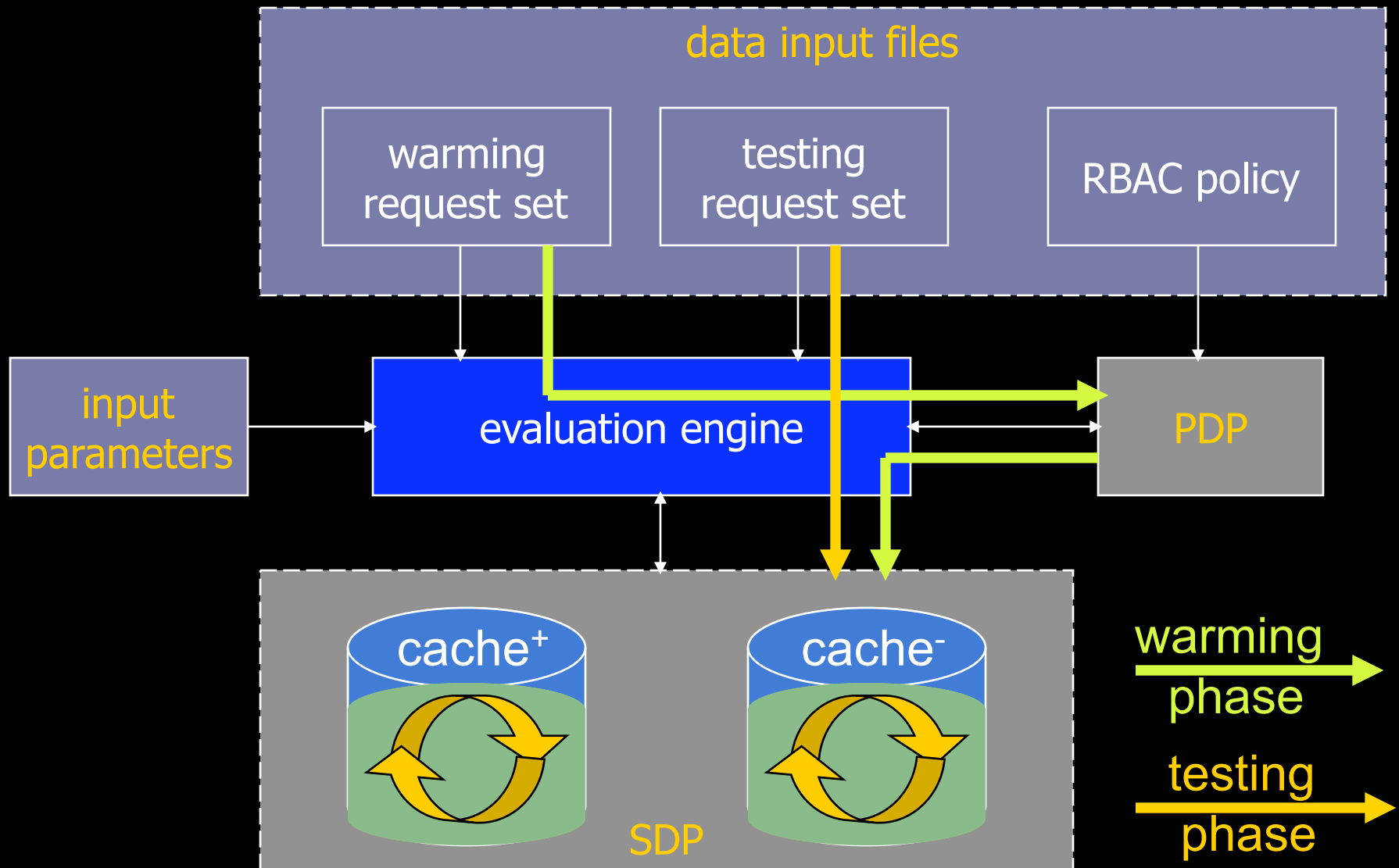
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# evaluation metrics

- SDP hit rate
  - a cache hit
    - a request is resolved by the SDP
  - higher hit rate => more requests resolved by the SDP
    - even when the PDP fails => higher availability
    - reducing the load of the PDP => higher scalability
- SDP inference time
  - the time used to infer approximate responses
  - less inference time, more efficient the system

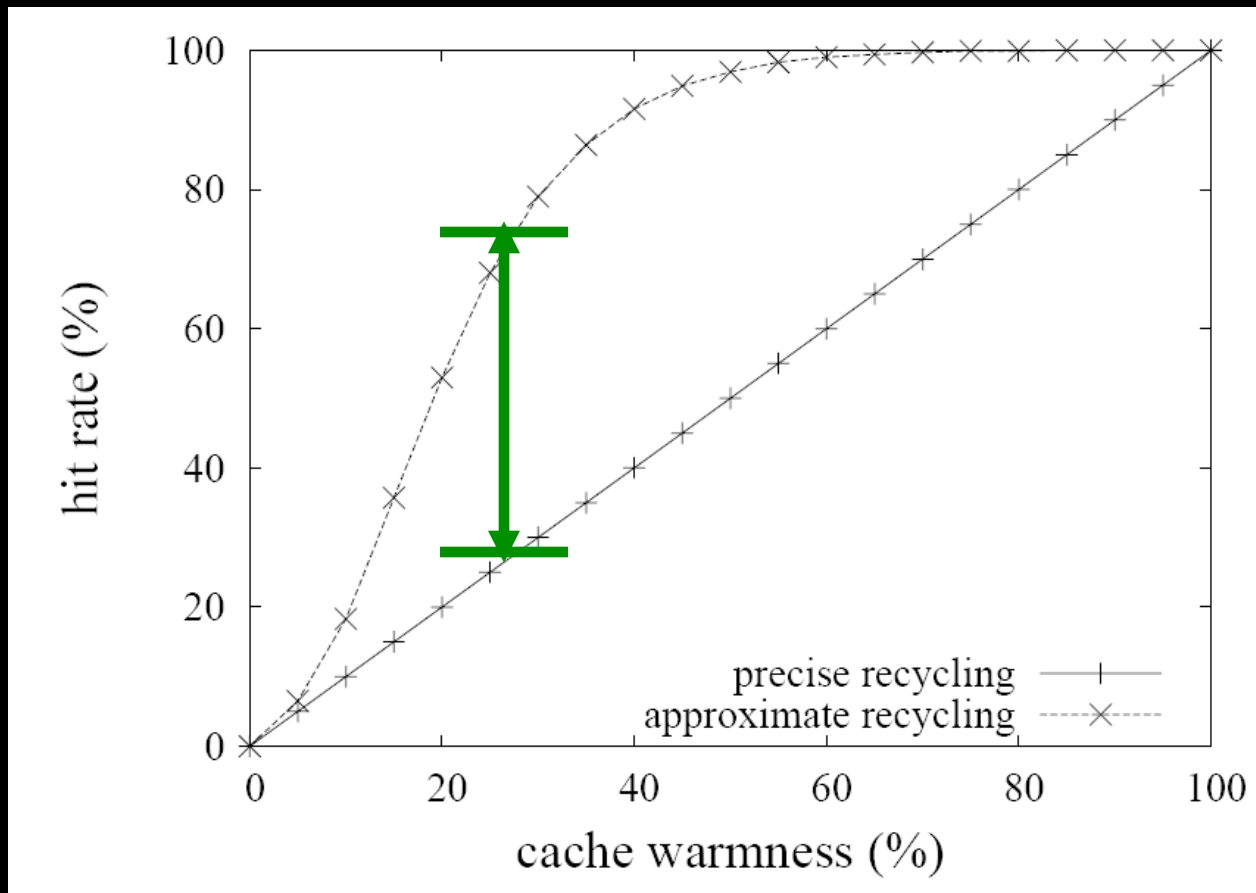
# evaluation methodology





# hit rate

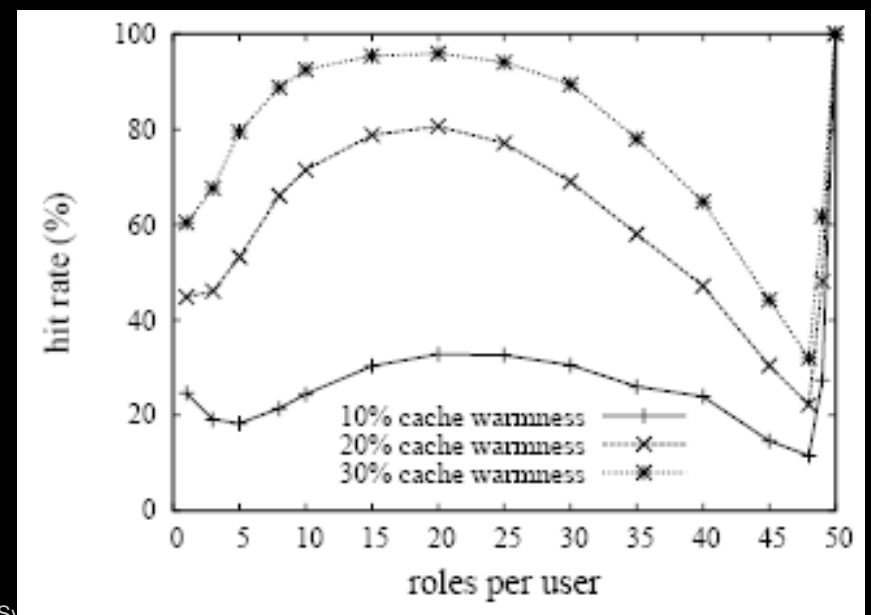
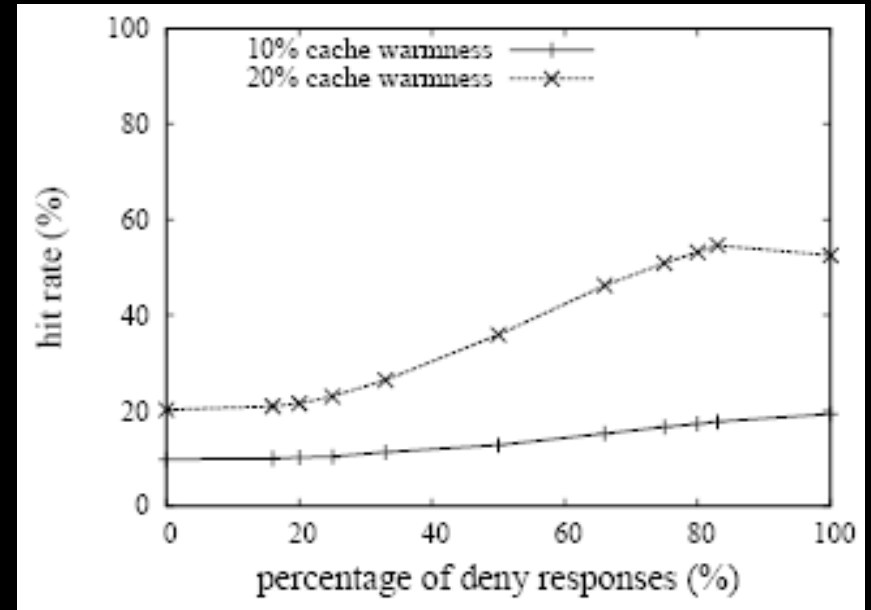
RABC policy: 100 subjects, 1,000 objects, 50 roles



compared with simple caching, hit rate is improved significantly by using  $SAAM_{RBAC}$  recycling algorithm

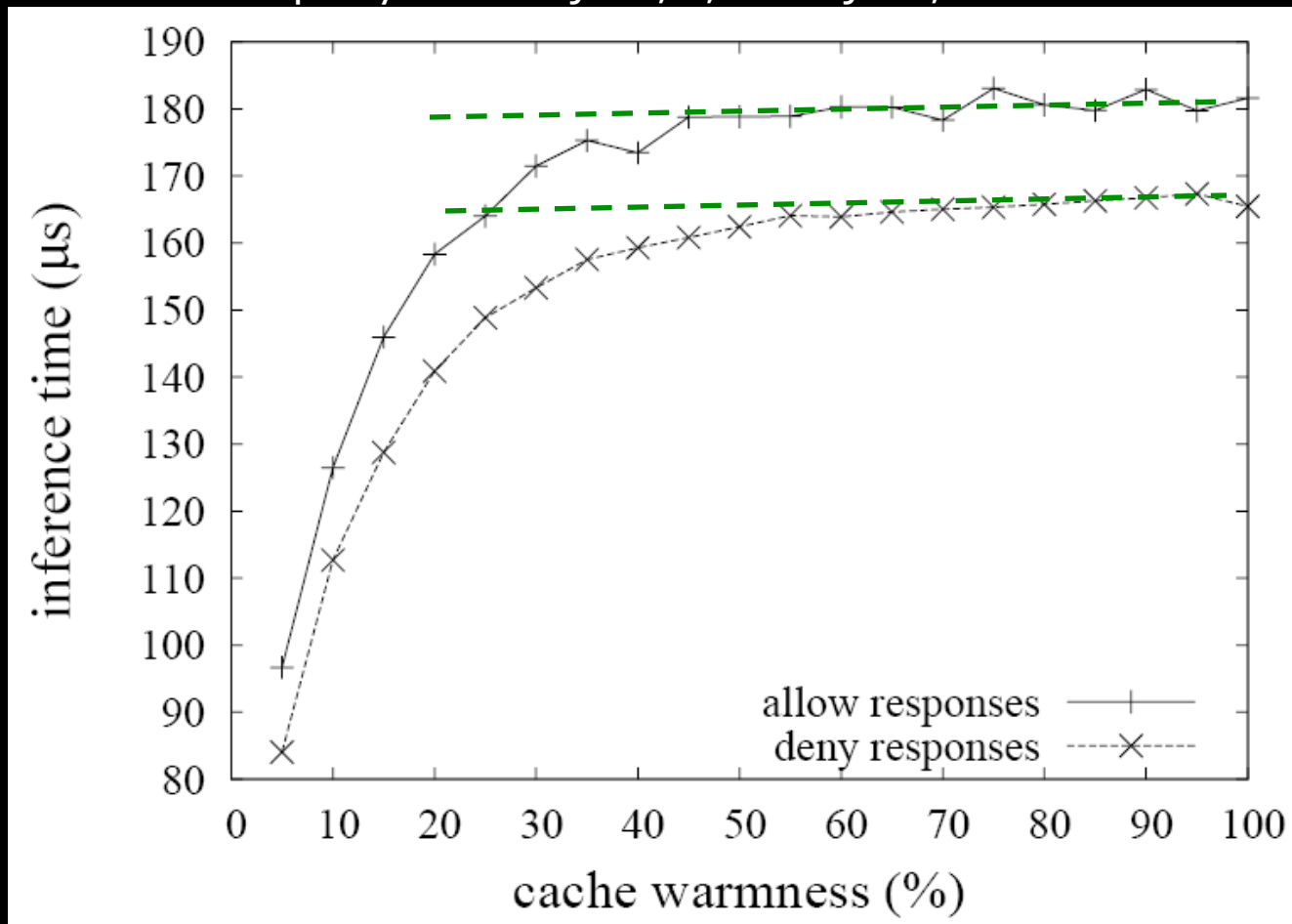
# the impact of various system parameters

- the percentage of deny responses
- the number of roles
- the number of roles assigned per permission
- the number of roles assigned per user
- the popularity distribution of role assignment
- ...



# inference time

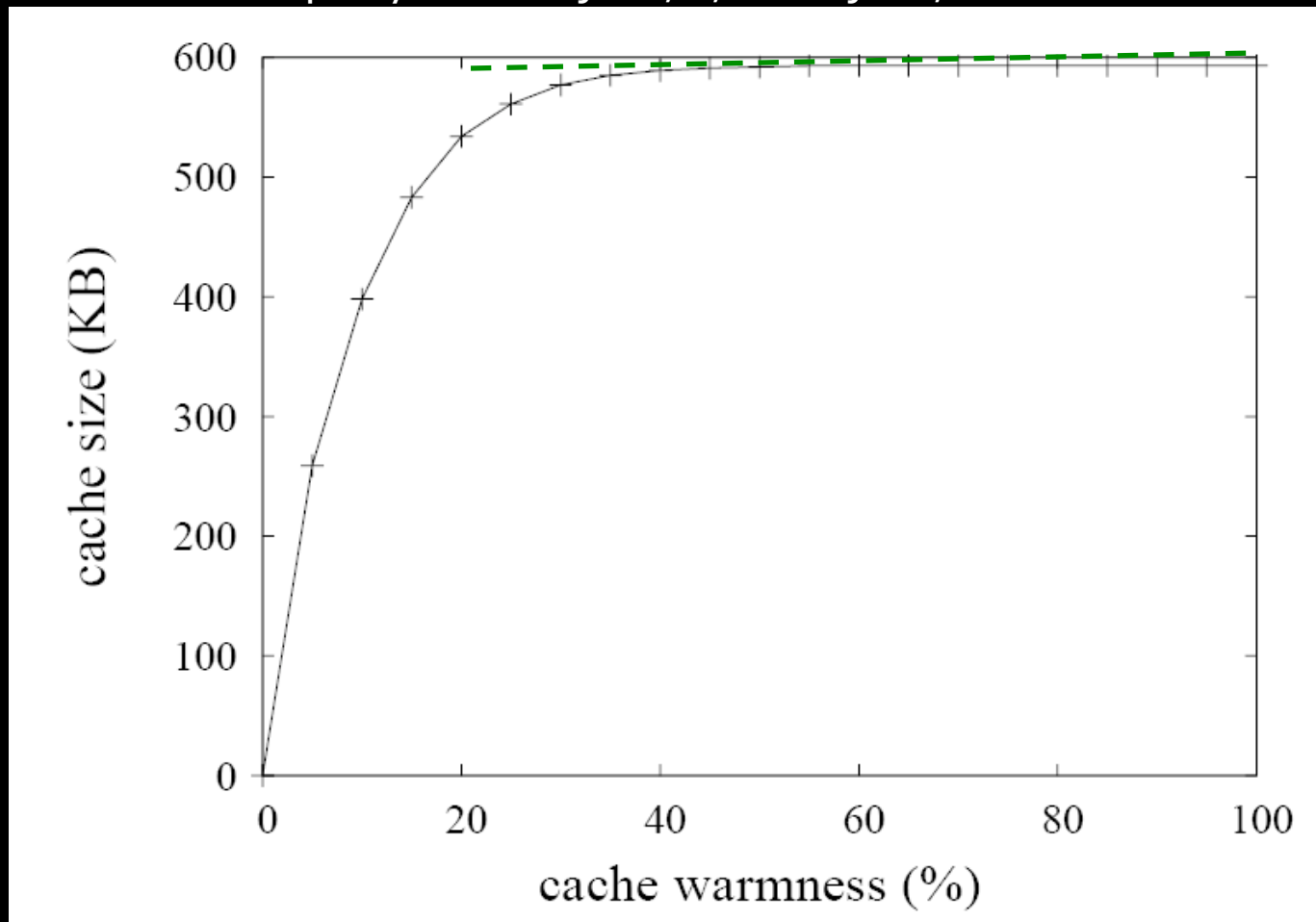
RABC policy: 100 subjects, 1,000 objects, 50 roles



inference time stabilizes

# cache size

RABC policy: 100 subjects, 1,000 objects, 50 roles

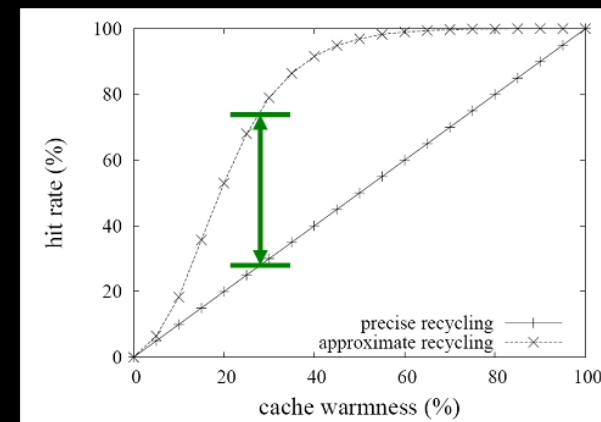
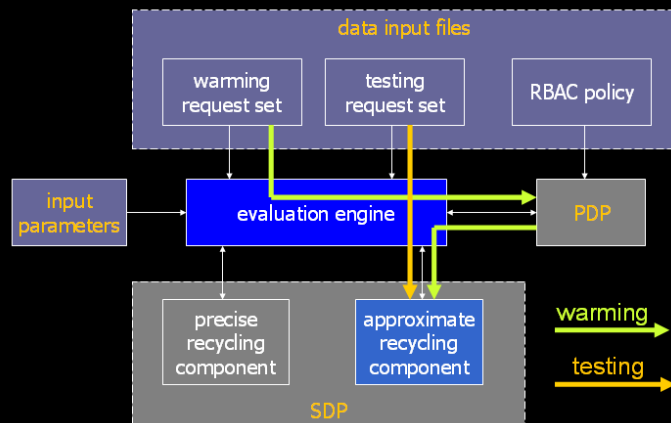
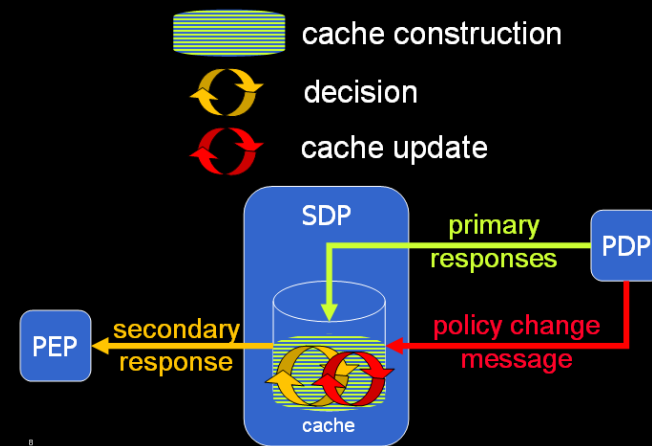
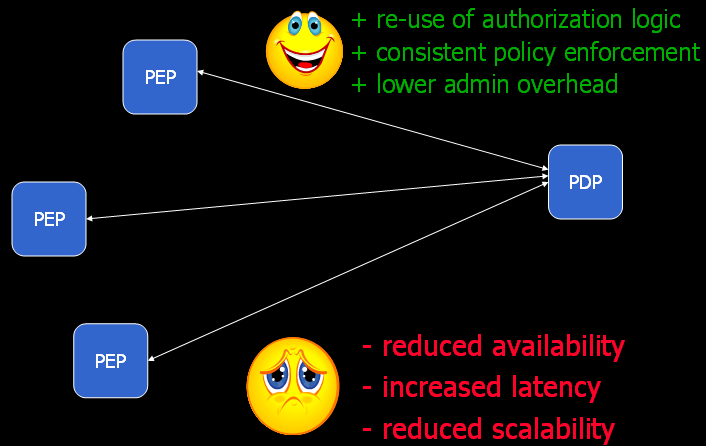


cache size stabilizes

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# summary



## future work

- when role hierarchy is available
- cache replacement algorithm
- experiment with real enterprise RBAC policies and request traces



We are looking for policies and traces from real applications! If you are willing to share them, please talk to me or contact me at: [qiangw@ece.ubc.ca](mailto:qiangw@ece.ubc.ca)

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